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MORRILL  
MACPHERSON LLP



06-11-01

GP/2165

Docket No.: M-4540-1C US

June 8, 2001

BOX NON-FEE AMENDMENT  
Commissioner For Patents  
Washington, D.C. 20231

RECEIVED

JUN 13 2001

Technology Center 2100

Re: Applicant: Foster, Robert A.  
Assignee: Financial Systems Technology Pty. Ltd.  
Title: Data Processing System For Complex Pricing And Transactional Analysis  
Serial No.: 09/535,573  
Examiner: C. Nguyen  
Docket No.: M-4540-1C US Filed: March 27, 2000  
Group Art Unit: 2165

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate);
- (3) Response to Office Action of March 8, 2001 (6 pages); and;
- (4) Attachment A (Claim Amendments) (1 page);



No additional fee is required.



The fee has been calculated as shown below:

**CLAIMS AS AMENDED**

	Claims Remaining <u>After Amendment</u>	Highest No. <u>Previously Paid For</u>	Present <u>Extra</u>	<u>Rate</u>	Additional <u>Fee</u>
Total Claims	40	Minus 40	= 0	x \$18	\$ 0.00
Independent Claims	2	Minus 2	= 0	x \$80	\$ 0.00

Fee of \_\_\_\_\_ for the first filing of one or more multiple dependent claims per application \$

Fee for Request for Extension of Time \$

**Total additional fee for this Amendment:** \$ 0.00

Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.

Please charge our Deposit Account No. 19-2386 in the amount of \$ 0.00

Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 19-2386.

**Total:** \$ 0.00

**EXPRESS MAIL LABEL NO.**

**EL 487 696 574 US**

Respectfully submitted,

Edward C. Kwok  
Attorney for Applicant  
Reg. No. 33,938



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Foster, Robert A.

Assignee: Financial Systems Technology Pty. Ltd.

Title: Data Processing System For Complex Pricing And Transaction Analysis

Serial No.: 09/535,573 Filing Date: March 27, 2000

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San Jose, California  
June 8, 2001

BOX Non Fee Amendment  
COMMISSIONER FOR PATENTS  
Washington, D. C. 20231

**RESPONSE TO OFFICE ACTION**

Dear Sir:

This is in response to the Office Action dated March 8, 2001. Please amend the above-referenced application as follows:

**IN THE CLAIMS**

Please amend the claims as follows. A marked-up version of the amended claim is attached in Attachment A.

*B*  
47. (Amended) In a computer system, a method for pricing transactions, said method comprising:

creating a database in a computer-readable medium, wherein creating a database comprises:

creating a transaction instance corresponding to a transaction;

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